

The finiteness of security (Tutorial)

Renato Renner

$\varepsilon > 0$
(Tutorial)

Renato Renner

Rationale

$$\epsilon > 0$$

- Security is always finite.
- It is therefore crucial to understand how to quantify it.

Epsilon-security



Certificate

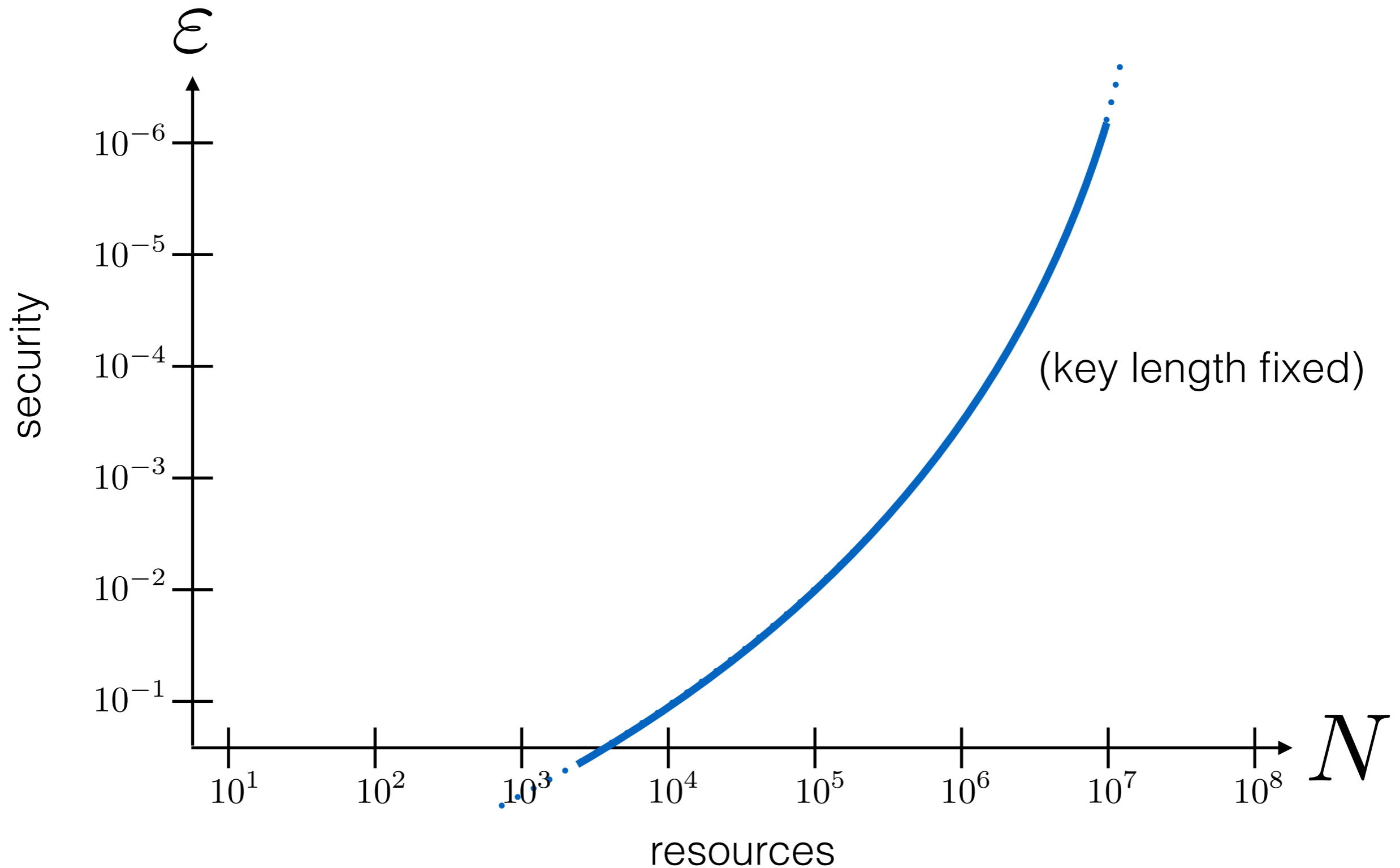
The keys generated
by this device have
security

$$\epsilon = 10^{-8}$$

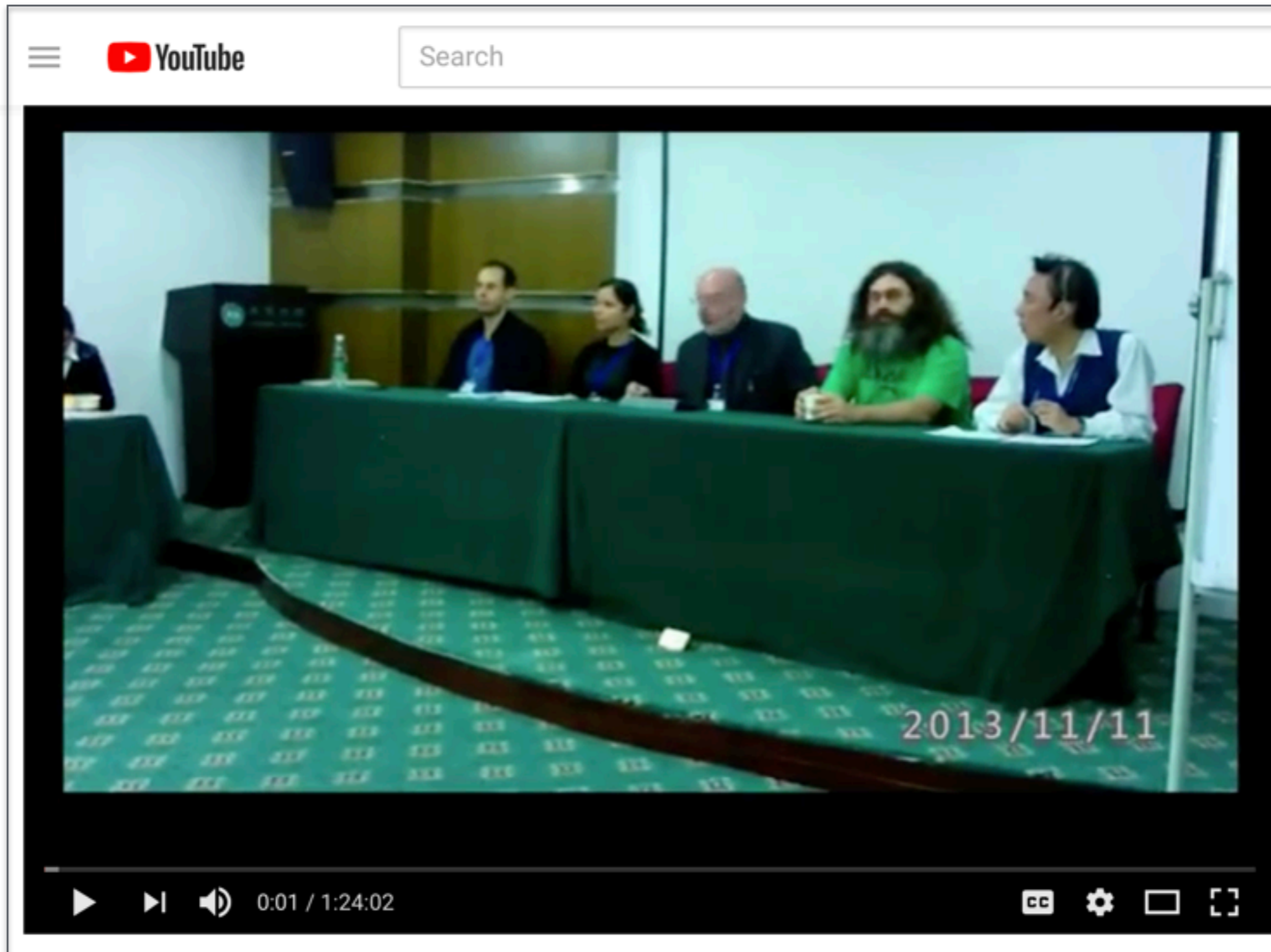


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“Finite-size effects” sound rather boring ...



... but the epsilon is hotly debated



Debate at the “HotPI” conference, Hunan University, Changsha

The debate is still ongoing ...

Misconception in Theory of Quantum Key Distribution -Reply to Renner-

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(Dated: August 16, 2018)

It has been pointed out by Yuen that the security theory of quantum key distribution(QKD) guided by Shor-Preskill theory has serious defects, in particular their key rate theory is not correct. Theory groups of QKD tried to improve several defects. Especially, Renner employed trace distance

... and is basically about the epsilon

On the Foundations of Quantum Key Distribution —
Reply to Renner and Beyond*

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Abstract

In a recent note ([arXiv:1209.2423](https://arxiv.org/abs/1209.2423)) Renner claims that the criticisms of Hirota and Yuen on the security foundation of quantum key distribution arose from a logical mistake. In this paper it is shown that Renner

What is the problem?

has been repeatedly given in [2-5]. Rather, Renner made a fundamental error in [7-8] which has become the standard interpretation of the trace distance criterion d widely employed in QKD. This incorrect interpretation leads to the current prevalent QKD security claim that the generated key K has a probability $p \geq 1 - d$ of being ideal [9-11]. In actuality, K is not

Security claim

Certificate

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security

$$\varepsilon = 10^{-8}$$



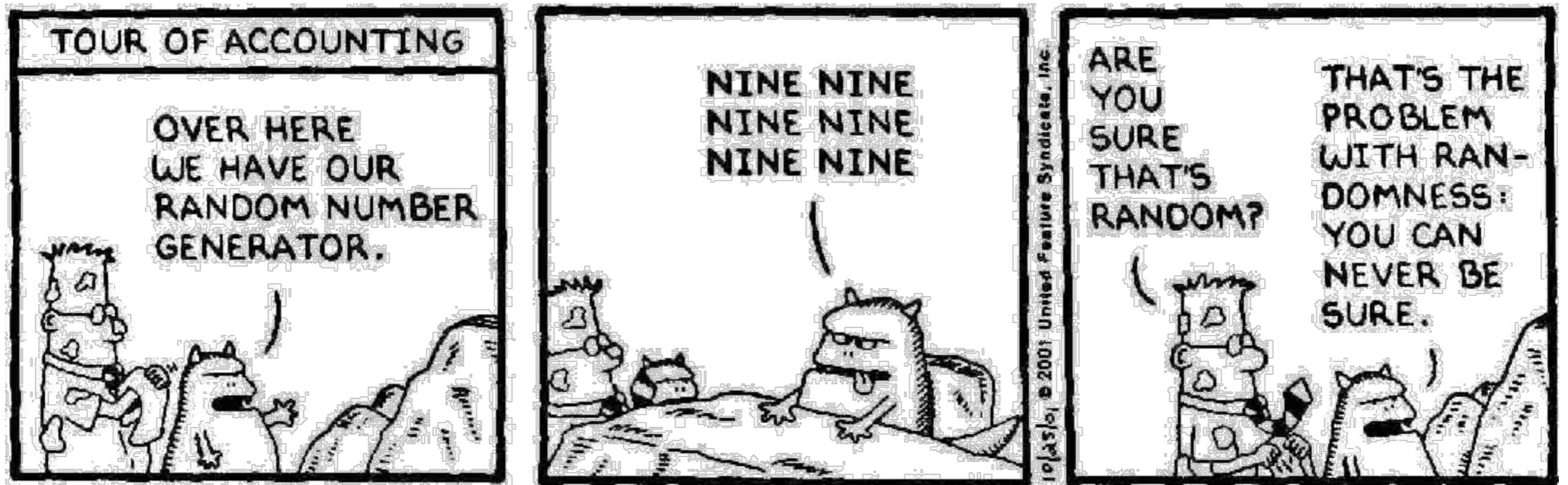
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Operational meaning:
“An adversary cannot
gain any information
about the secret,
except with probability
epsilon.”

Where does the epsilon come from?

From statistical fluctuations in the random choices .



Risk that adversary makes correct guesses

Recall that QKD protocols involve various random choices.

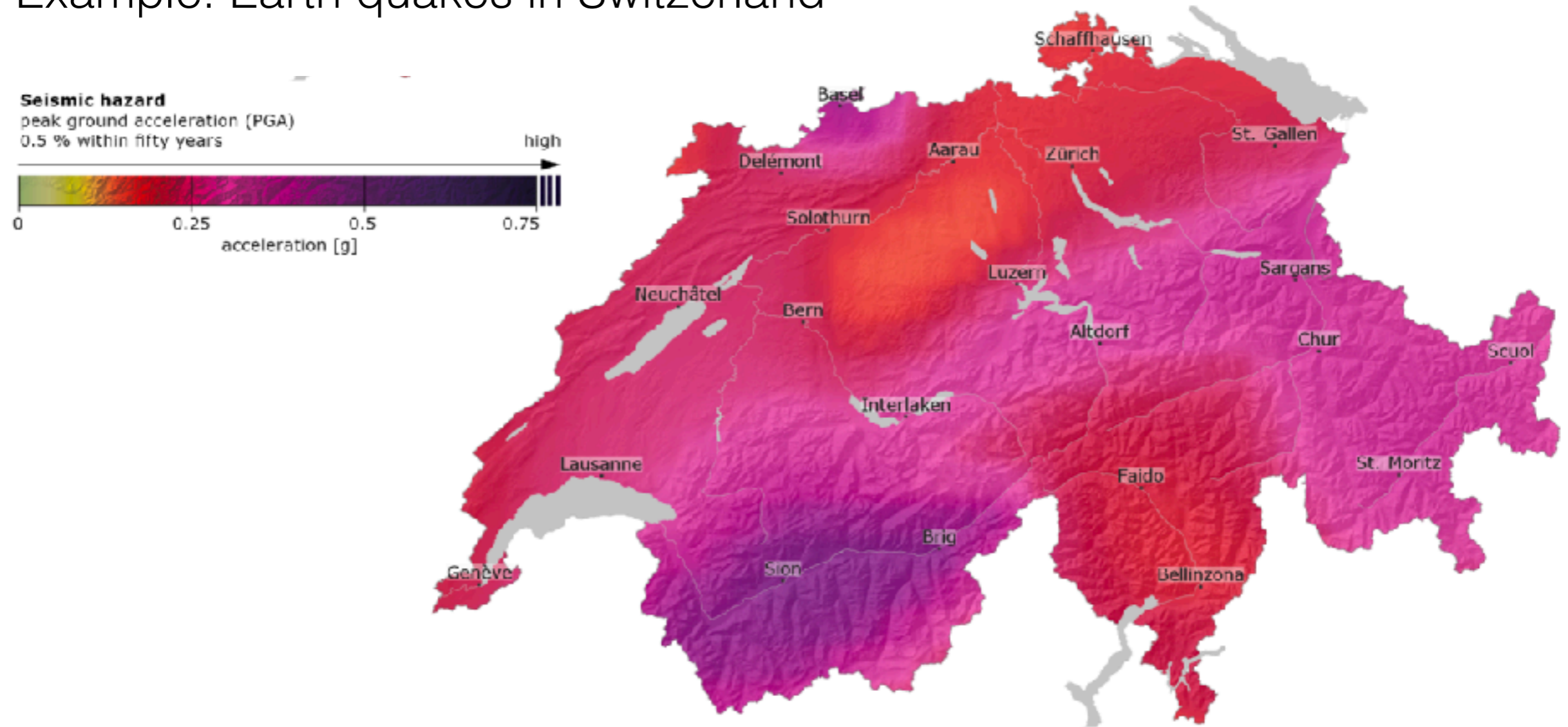
Alice's bit string.....	1	0	1	0	0	1	1	1	0	1	0	1	1	0	0
Alice's random basis.....															
Photons Alice sends.....	↑	↔	↓	↔	↔	↑	↓	↓	↔	↓	↔	↑	↓	↔	↔
Bob's random bases.....	R	D	D	D	R	R	D	R	R	D	R	R	D	D	R
Bob's rectilinear table.....	1					1					0				0
Bob's diagonal table.....		0		1						1			0		
Bob's guess.....															
Alice's reply.....															
Alice sends her original bit string to certify.....	1	0	1	0	0	1	1	1	0	1	0	1	1	0	0
Bob's rectilinear table.....	1					1					0				0
Bob's diagonal table.....		0		1						1			0		

From Bennett and Brassard, Quantum cryptography: Public key distribution and coin tossing (1984)

Note: This risk cannot be reduced to zero.

Risks can have different levels of severeness

Example: Earth quakes in Switzerland



Operational meaning:

The probability of experiencing this is 0.5 % within fifty years.

Epsilon-security is “all or nothing”



Certificate

Any key generated by this device is secure, except with probability

$$\varepsilon = 10^{-8}$$



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Epsilon-security is common in engineering



Certificate

A DBA does not occur, except with probability

$$\varepsilon = 10^{-6}$$

per year.



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Note: epsilon cannot be reduced to zero.

Epsilon-security is common in engineering



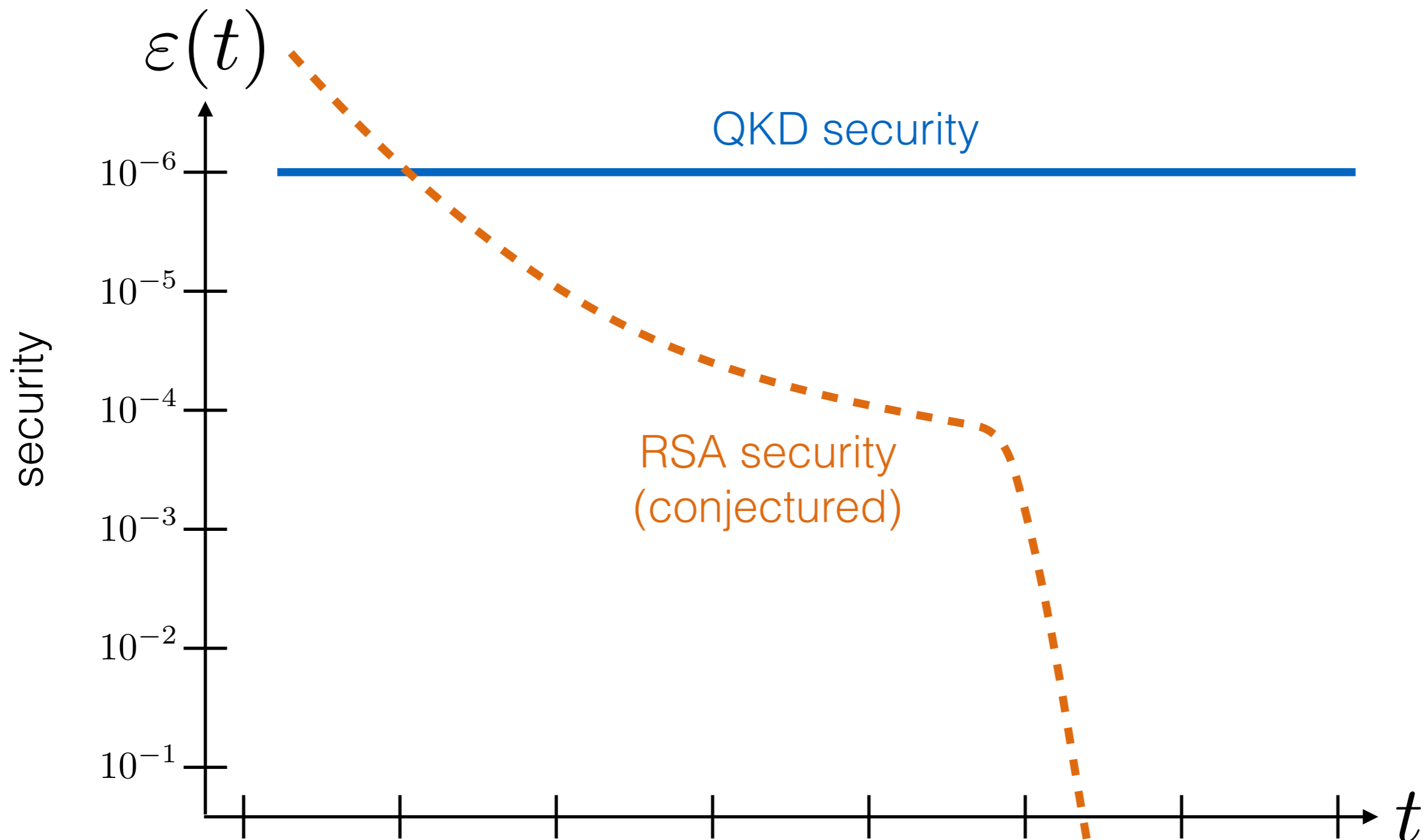
Certificate

The keys generated by RSA remain secure for time t , except with probability

$$\varepsilon(t)$$

which is related to the probability that large numbers can be factored in time $\text{poly}(t)$.

Quantum versus computational cryptography



How is epsilon defined?

Certificate

The keys generated
by this device have
security

$$\varepsilon = 10^{-8}$$

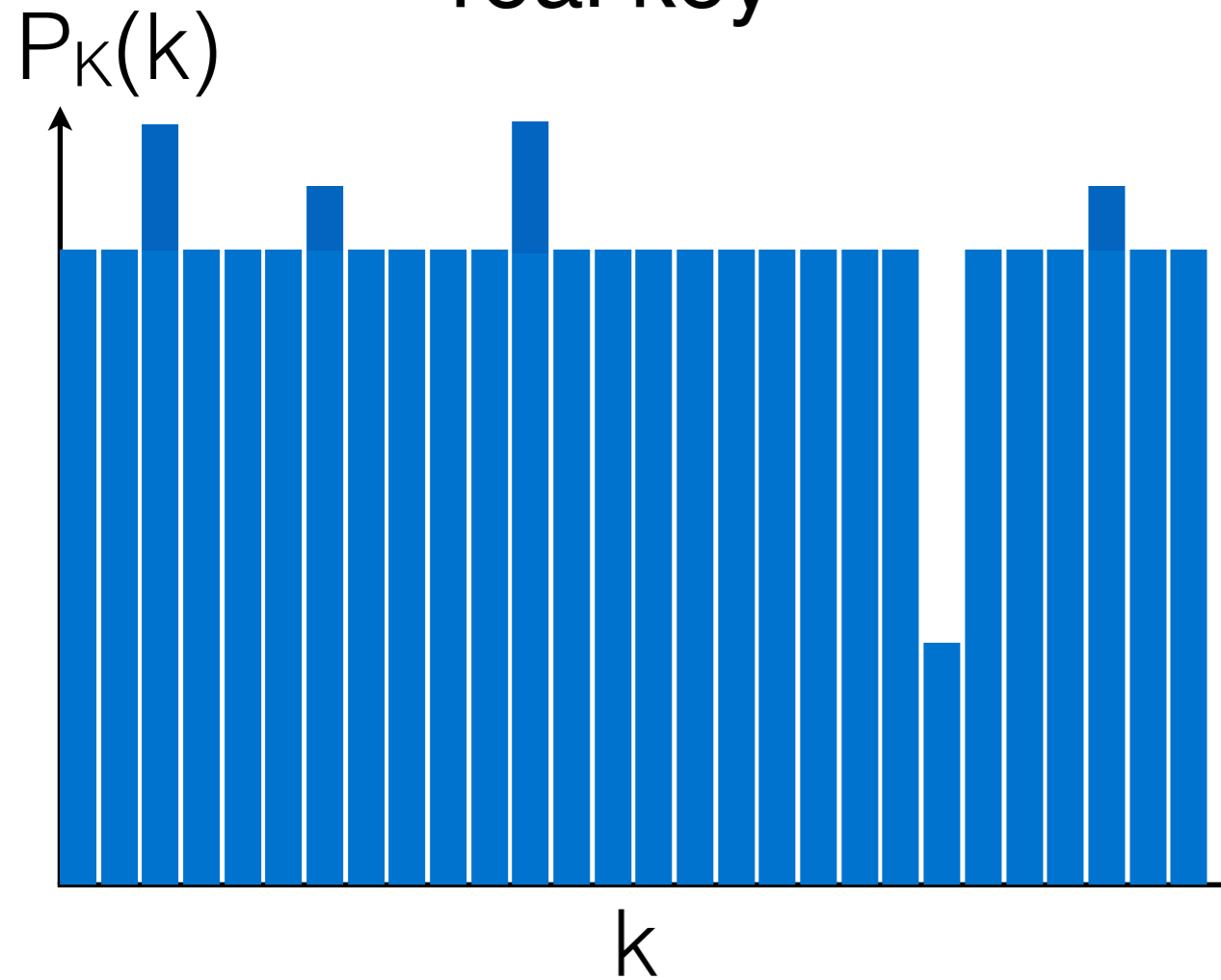


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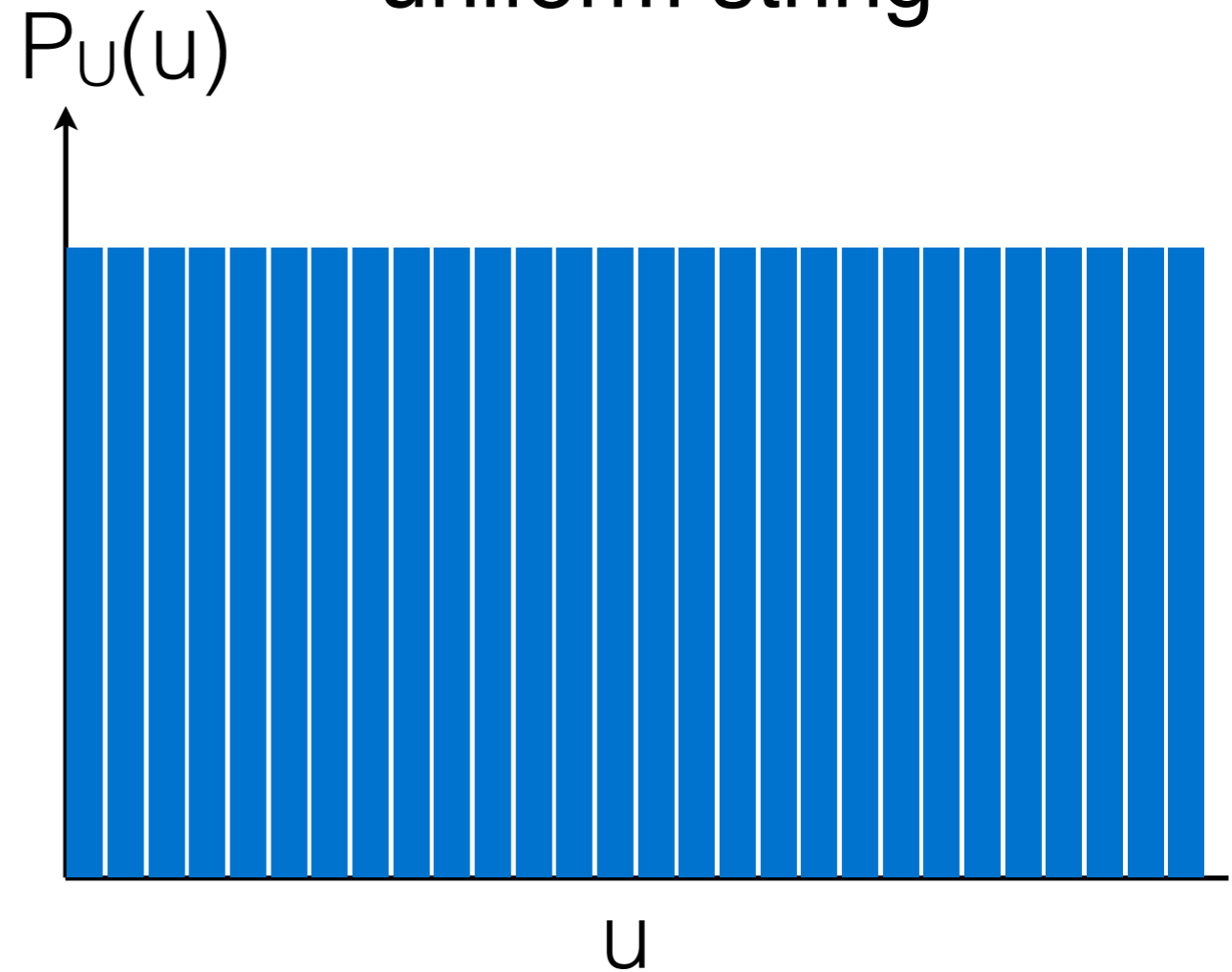
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Technical definition (without Eve)

real key

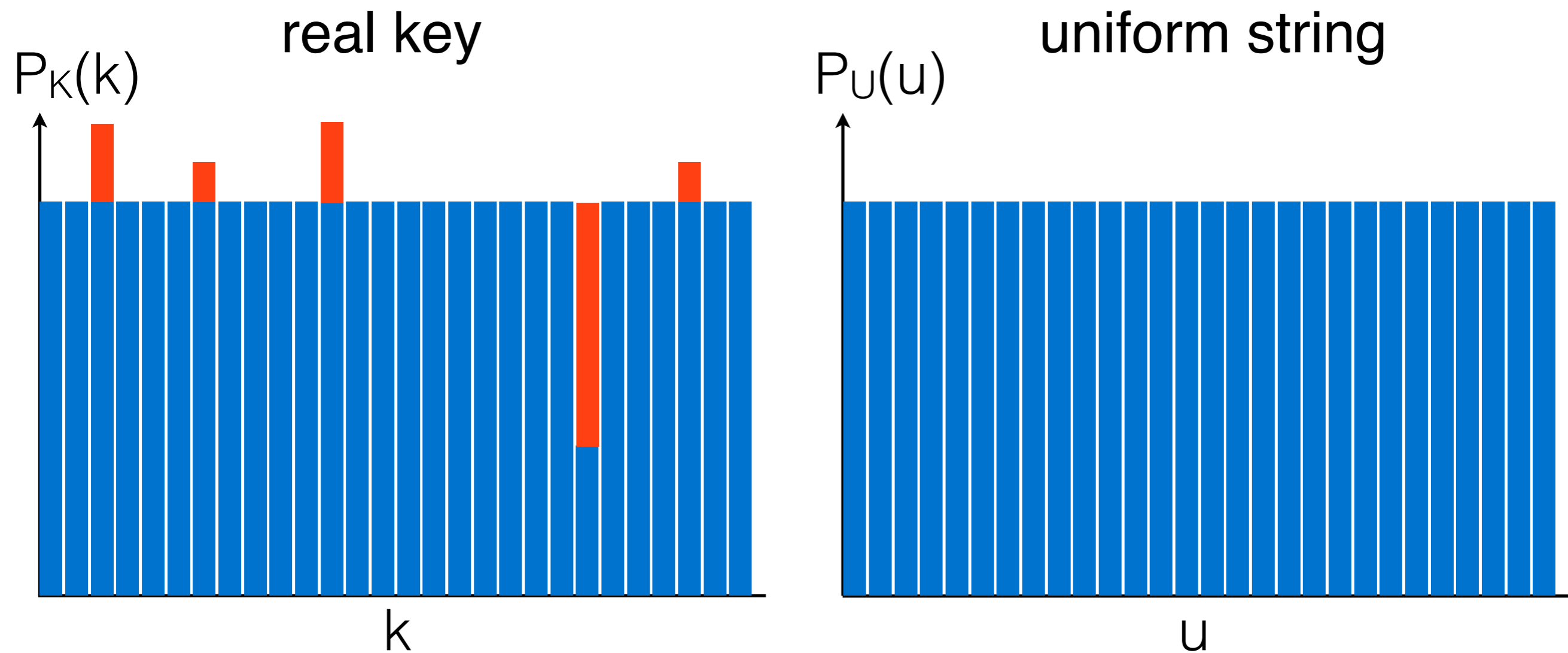


uniform string



ε Trace distance between probability distribution of real key K and uniformly distributed string U .

Technical definition (without Eve)



ε corresponds to weight of red area.

Real world / ideal world paradigm

Perfect Key Generation Device



K_A

E

K_B

Requirements

Correctness: $K_A = K_B = K$

Secrecy: K uniformly distributed and independent of E

Real world / ideal world paradigm

real world

QKD Protocol

K_A

E

K_B

ideal world

Perfect Key Generation Device

K_A

E

K_B

Real world / ideal world paradigm

real world

QKD Protocol

K

E

K

ideal world

Perfect Key Generation Device

K

E

K

Definition: The Protocol is ε -secure if P_{KE} and P_{KE} have trace distance ε from each other.

What does epsilon mean operationally?

real world

ideal world

QKD Protocol

Perfect Key Generation Device

↓
K

↓
E

↓
K

↓
K

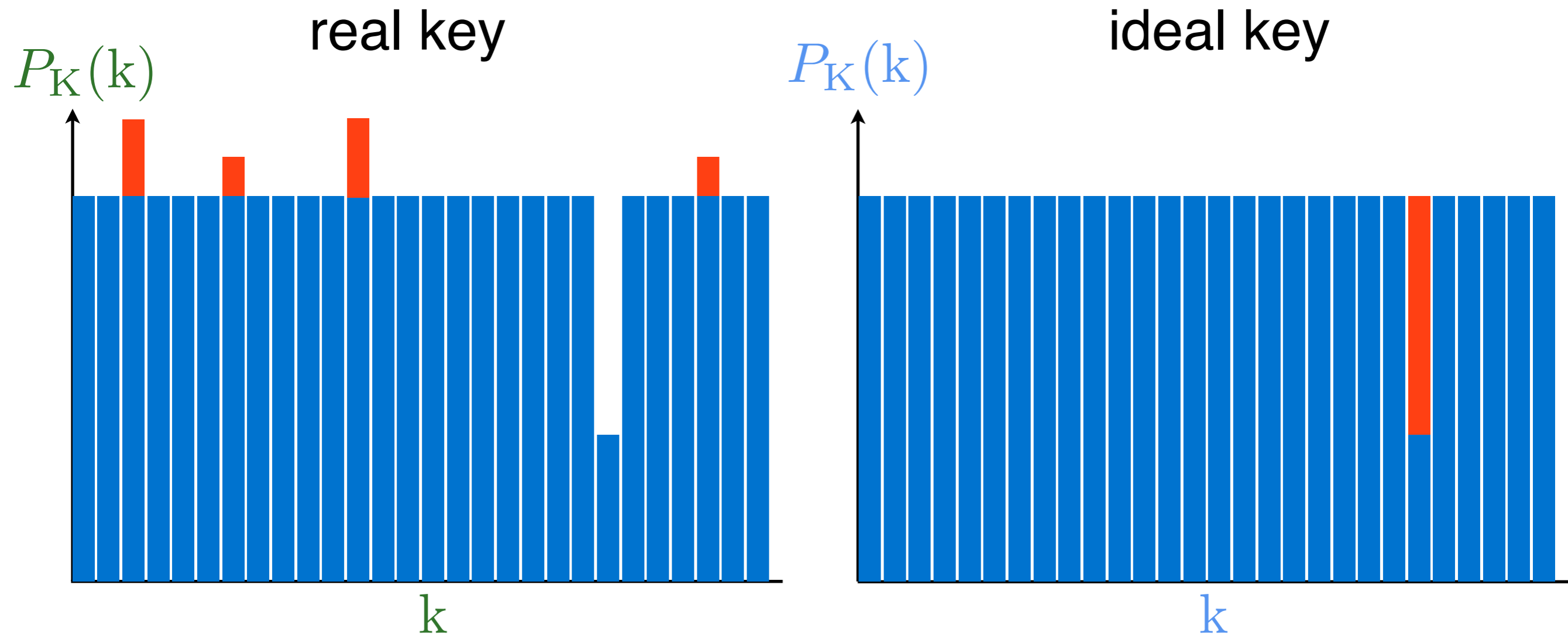
↓
E

↓
K

Theorem: If the Protocol is ε -secure then there exists a joint distribution such that, with probability at least $1 - \varepsilon$,

$$\mathbf{K} = \mathbf{K} \text{ and } \mathbf{E} = \mathbf{E}$$

Proof idea



Recall: Red area corresponds to trace distance ε .

Idea: Define $\mathbf{K} = \mathbf{K}$, except when red.

What does epsilon mean operationally?

real world

ideal world

QKD Protocol

Perfect Key Generation Device

↓
K

↓
E

↓
K

↓
K

↓
E

↓
K

Theorem: If the Protocol is ε -secure then there exists a joint distribution such that, with probability at least $1 - \varepsilon$,

$$\mathbf{K} = \mathbf{K} \text{ and } \mathbf{E} = \mathbf{E}$$

What does epsilon mean operationally?

real world

ideal world

QKD Protocol

Perfect Key Generation Device

K

E

K

K

E

K

Interpretation: If the Protocol is ϵ -secure then the probability that it behaves differently from a perfect device is at most ϵ .

Quantum version

real world

ρ_{KE}

QKD Protocol

↓
K

↓
E

↓
K

ideal world

ρ_{KE}

Perfect Key Generation Device

↓
K

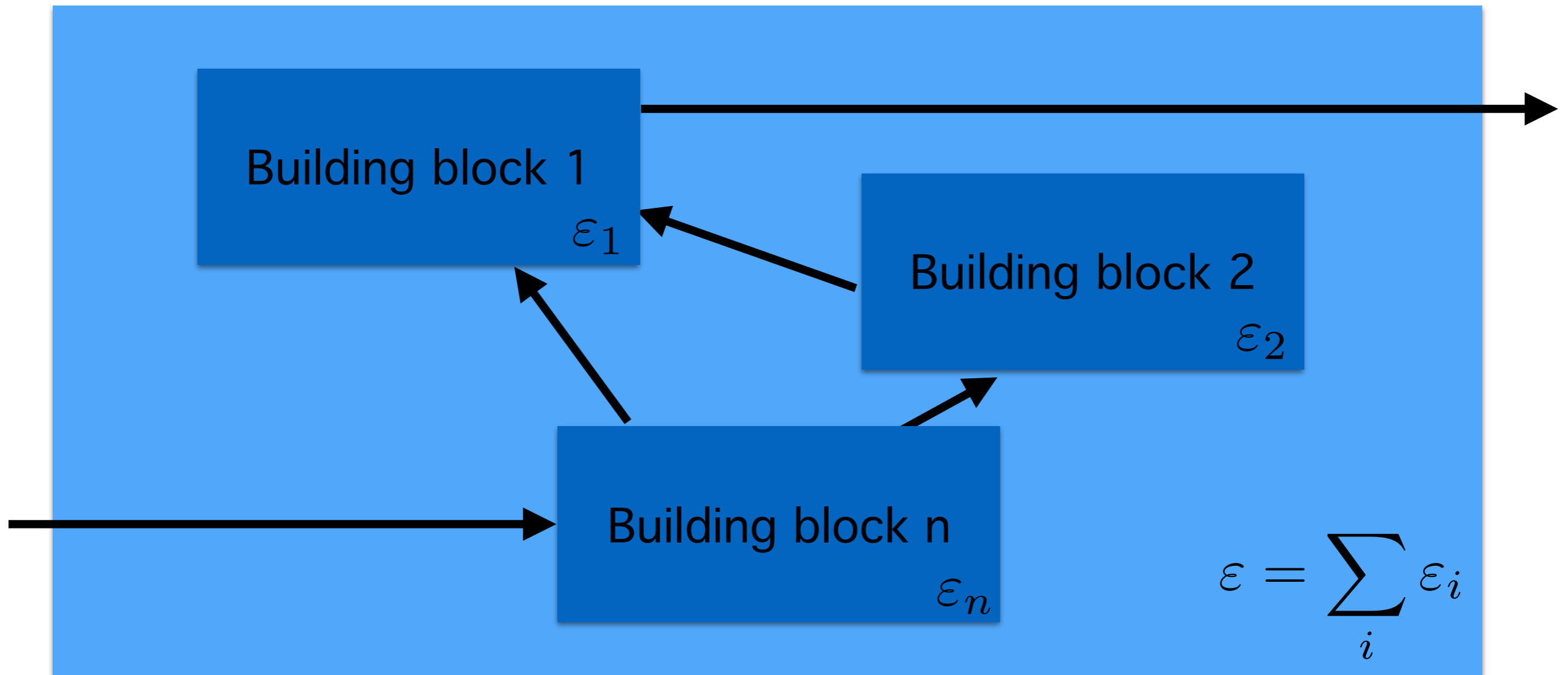
↓
E

↓
K

Theorem: If the Protocol is ε -secure then there exists a state ρ_{KE} and events Ω and Ω with probability $1 - \varepsilon$ s.t.

$$\rho_{KE|\Omega} = \rho_{KE} \quad \text{and} \quad \rho_{KE|\Omega} = \rho_{KE}$$

Composability: Summation rule



Epsilons add up (because failure probabilities add up).

Contributions to epsilon

QKD Protocol

Parameter Estimation

ϵ_{PE}



Error Correction

ϵ_{EC}



Privacy Amplification

ϵ_{PA}

$\epsilon_{QKD} = \epsilon_{PE} + \epsilon_{EC} + \epsilon_{PA}$



Example: Privacy Amplification

raw key with min-entropy at least h



Privacy Amplification



final n -bit key

Theorem: Given a raw key with min-entropy at least equal to h , the n -bit key is uniform, except with probability

$$\varepsilon_{\text{PA}} = 2^{-\frac{1}{2}(h-n)}$$

How to choose epsilon?



failure probability per key generated	$\epsilon = 10^{-12}$ (recommended value)	$\epsilon = 2 \cdot 10^{-5}$	failure probability per year
number of keys generated	$N = 10^9$	$N = 50$	number of years in operation
upper bound on failure	$p_{\text{fail}} = 1/10000$	$p_{\text{fail}} = 1/10000$	upper bound on failure

Summary

$$\epsilon > 0$$

- Security is always finite.
- It is therefore crucial to understand how to quantify it.

Questions?

$$\varepsilon > 0$$